

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

220340US23CONT

First Inventor or Application Identifier

Bruce OBERHAY

Title

ELEMENT AND METHOD FOR PERFORMING BIOLOGICAL ASSAYS ACCURATELY, RAPIDLY AND SIMPLY

Assignee Name:

CARDIOVASCULAR DIAGNOSTICS, INC.

Assignee Address:

9401 Globe Center Drive, Suite 140, Morrisville, NC 27560



02/06/02

dec2 U.S. PTO
19/091296

03/06/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Sheets **112**
3. ☒ Formal Drawing(s) Total Sheets **19**
(35 U.S.C. 113)
4. ☒ Oath or Declaration Total Pages **2**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification or Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (*if applicable*)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (2)
12. ☒ Preliminary Amendment w/Marked-Up Copy
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/482,036
Prior application information: Examiner: J. TAYLOR Group Art Unit: 1655

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of U.S. Serial No. 09/482,036, filed January 13, 2000, pending, which is a continuation of U.S. Serial No. 08/819,341, filed March 18, 1997 (now U.S. Patent No. 6,197,494, issued March 6, 2001), which is a continuation of U.S. Serial No. 08/247,411, filed May 23, 1994 (now U.S. Patent No. 5,658,723, issued August 19, 1997), which is a continuation of U.S. Serial No. 07/865,634, filed April 9, 1992 (ABANDONED), which is a continuation of U.S. Serial No. 07/350,851, filed May 12, 1989 (ABANDONED), which is a continuation of U.S. Serial No. 07/033,817, filed April 3, 1987 (now U.S. Patent No. 4,849,340, issued July 18, 1989).
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Name:	J. Derek Mason, Ph.D.	Registration No.:	35,270
Signature:		Date:	3/6/02

John K. Pike, Ph.D.
Registration No. 41,253

Docket No. 220340US23CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Bruce OBERHARDT

SERIAL NO: NEW APPLICATION

FILING DATE: HERewith

FOR: ELEMENT AND METHOD FOR PERFORMING BIOLOGICAL ASSAYS ACCURATELY, RAPIDLY AND SIMPLY

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	20 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$740.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$740.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

03/12/2002 HDENESS1 00000041 10091296

01 FC:101

740.00 DP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595